

01-06-00

PTO/SB/05 (12/97)

Approved for use through 09/30/00. OMB 0651-0032

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01/05/00

714 U.S. PTO

UTILITY PATENT APPLICATION TRANSMITTAL

(Only for new nonprovisional applications under 37 CFR 1.53(b))

Attorney Docket No.

22091-701

Total Pages

First Named Inventor or Application Identifier

Schenk, John L.

Express Mail Label No.

EL290736057US

APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents:

ADDRESS TO:
Assistant Commissioner for Patents
Box Patent Application
Washington, DC 20231

1. ☒ Fee Transmittal Form
(Submit an original, and a duplicate for fee processing)

[Total Pages 60]

2. ☒ Specification
(preferred arrangement set forth below)

- Descriptive title of the Invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claim(s)
- Abstract of the Disclosure

3. ☐ Drawings (35 USC 113)

[Total Sheets]

[Total Pages 3]

4. Oath or Declaration

a. ☒ New

b. ☐ Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
[Note Box 5 below]

i. ☐ **DELETION OF INVENTOR(S)**
Signed statement attached deleting inventor(s) named in
the prior application, see 37 CFR 1.63(d)(2) and 1.33(b)

5. ☐ Incorporated By Reference (useable if Box 4b is checked) The entire disclosure of the
prior application, from which a
copy of the oath or declaration is supplied under Box 4b,
is considered as being part of the disclosure of the accompanying application and is
hereby incorporated by reference therein.

17. If a CONTINUING APPLICATION,
check appropriate box and supply the requisite information:

☐ Continuation

☐ Divisional

☐ Continuation-in-part
(CIP)

of prior application No: _____

18. CORRESPONDENCE ADDRESS

☐ Customer Number or Bar Code Label

(Insert Customer No. or Attach Bar code label here)

or ☒ Correspondence address below

NAME	Emily M. Haliday			ZIP CODE	94111-4066
ADDRESS	McCutchen, Doyle, Brown & Enersen, LLP, Three Embarcadero Center			FAX	(650)849-4800
CITY	San Francisco	STATE	CA		
COUNTRY		TELEPHONE	(650)849-4910		

6. ☐ Microfiche Computer Program (Appendix)

7. Nucleotide and/or Amino Acid Sequence Submission
(if applicable, all necessary)

a. ☐ Computer Readable Copy

b. ☐ Paper Copy (identical to computer copy)

c. ☐ Statement verifying identity of above copies

ACCOMPANYING APPLICATION PARTS

8. ☒ Assignment Papers (cover sheet & document(s))

9. ☐ 37 CFR 3.73(b) Statement
(when there is an assignee)

☒ Power of Attorney
(in Declaration)

10. ☐ English Translation Document (if applicable)

11. ☐ Information Disclosure
Statement (IDS)/PTO-1449

☐ Copies of IDS Citations

12. ☐ Preliminary Amendment

13. ☒ Return Receipt Postcard (MPEP 503)
(Should be specifically itemized)

14. ☒ Small Entity
Statement(s)

☐ Statement filed in prior application, Status
still proper and desired

15. ☐ Certified Copy of Priority Document (s)
(if foreign priority is claimed)

16. ☐ Other:

Burden Hour Statement: This form is estimated to take 0.2 hours to complete. Time will vary depending upon the needs of the individual case. Any comments on the amount of time you are required to complete this form should be sent to the Chief Information Officer, Patent and Trademark Office, Washington, DC 20231. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Assistant Commissioner for Patents, Box Patent Application, Washington, DC 20231.
52008795.1/
Docket No.: 22091-701

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FEE TRANSMITTAL for FY 1999

Patent fees are subject to annual revision.
 Small Entity payments must be supported by a small entity statement,
 otherwise large entity fees must be paid. See Forms PTO/SB/09-12.

TOTAL AMOUNT OF PAYMENT (\$573.00)

Complete if Known

Application Number	To be assigned
Filing Date	Herewith
First Named Inventor	Schenk, John L.
Examiner Name	To be assigned
Group / Art Unit	To be assigned
Attorney Docket No.	22091-701

METHOD OF PAYMENT (check one)

1. ☐ The Commissioner is hereby authorized to charge the indicated required fees to:
- ☐ The Commissioner is hereby authorized to charge any additional required fees and credit any over payments to:

Deposit
Account
Number
Deposit
Account
Name

13-0257

McCutchen, Doyle, Brown & Enersen LLP

2. ☒ Payment Enclosed:
☒ Check ☐ Money Order ☐ Other

FEE CALCULATION

1. BASIC FILING FEE

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
101	760	201	380	Utility filing fee	380.00
106	310	206	155	Design filing fee	
107	480	207	240	Plant filing fee	
108	760	208	380	Reissue filing fee	
114	150	214	75	Provisional filing fee	

SUBTOTAL (1) (\$) 380.00

2. EXTRA CLAIM FEES

Total Claims	Extra Claims	Fee from below	Fee Paid
37	20** = 17	9	153.00
Independent Claims	3 - 3** = 0	39	0
Multiple Dependent			

**or number previously paid, if greater; For Reissues, see below

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	78	202	39	Independent claims in excess of 3
104	260	204	130	Multiple dependent claim, if not paid
109	78	209	39	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) (\$) 153.00

FEE CALCULATION (continued)

3. ADDITIONAL FEES

Large Fee Code	Entity Fee (\$)	Small Fee Code	Entity Fee (\$)	Fee Description	Fee Paid
105	2,130*	205	65	Surcharge - late filing fee or oath	
127	50	227	25	Surcharge - late provisional filing fee or cover sheet	
139	130	139	130	Non-English specification	
147	2,520	147	2,520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR prior to Examiner action	
113	1,840*	113	1,840*	Requesting publication of SIR after Examiner action	
115	110	215	55	Extension for reply within first month	
116	380	216	190	Extension for reply within second month	
117	870	217	435	Extension for reply within third month	
118	1,360	218	680	Extension for reply within fourth month	
128	1,850	228	925	Extension for reply within fifth month	
119	300	219	150	Notice of Appeal	
120	300	220	150	Filing a brief in support of an appeal	
121	260	221	130	Request for oral hearing	
138	1,510	138	1,510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1,210	241	605	Petition to revive - unintentional	
142	1,210	242	605	Utility Issue fee (or reissue)	
143	430	243	215	Design Issue fee	
144	580	244	290	Plant Issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	240	126	240	Submission of Information Disclosure Stmt	40.00
581	40	581	40	Recording each patent assignment per property (times number of properties)	
146	760	246	380	Fill a submission after final rejection (37 CFR 1.129(a))	
149	760	249	380	For each additional invention to be examined (37 CFR 1.129(b))	

Other fee (specify) _____

Other fee (specify) _____

* Reduced by Basic Filing Fee Paid

SUBTOTAL (3) (\$) 40.00

SUBMITTED BY

Typed or Printed Name Emily M. Haliday

Signature

Emily M. Haliday

Date

10.5.2000

Complete (if applicable)

Reg. Number 38,903

Deposit Account User ID

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